




The Leader in Medicare Cost Report Software

IRIS

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1



IRIS Overview

- HFS IRIS System Highlights
- HFS IRIS Data Entry – Changes made
- HFS IRIS Reports
- IRIS – Recent CMS Changes and Issues
- New fields being added to IRIS to trace to the Hospital Cost Reports
- Timeline ?
- Questions

2



HFS IRIS Program

- The HFS IRIS program is SQL based that allows for Check for Updates and Net Sync that we have in the Medicare Cost Report software.
- The program has features for Children's Hospitals to account for CHGME audits.
 - In the Help tab – Preferences, we have a selection for Children's Hospitals that are not a FYE 6-30 to process reports as if they are.
 - This gives a warning to the user that this is selected if you are running a report with provider # XX-33XX.

3



HFS IRIS Program

The screenshot displays the HFS IRIS Program interface. The top navigation bar includes tabs for Home, Data Management, Interns, Reports, and Help. The Help tab is active, showing a sidebar with 'About IRIS' and 'Preferences' (indicated by a red arrow). The main content area is divided into several sections:

- Residency Calendar:** Includes fields for Start MM/DD (Month: 7, Day: 1) and End MM/DD (Month: 6, Day: 30), with a 'Rebuild Residency Calendar' button and a 'Rebuild Status: Ready' indicator.
- IRIS Data Archive:** Includes a description of archiving data and an 'Archive Settings' button.
- IRIS Extended Features:** Includes a 'Name matching tool' and a 'Dup Finder Utility' button.
- IRIS Settings:** A list of checkboxes for various settings:
 - ☒ CMS imports default to 'New'
 - ☒ Default to Day-Based (Ordinal) Overlaps
 - ☐ Turn on SSN masking
 - ☐ Input Assistant
 - ☐ Permit CMS Import on Error
 - ☐ Change Fiscal Year for Provider (indicated by a red arrow)
 - ☐ Import Partial Import
- Network Synchronization:** Includes radio buttons for 'Never Synchronize', 'Synchronize at Start Up', and 'Synchronize on Demand', along with fields for 'Last Synchronized' and 'Synchronization Folder'.

4



HFS IRIS Program

- We have the ability to check residents against OIG exclusion list

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IRIS Intern Resident Information System
Version: 5.19.0.8

Home Data Management Interns Reports Help

Import OIG Data File

Import Office of Inspector General (OIG) data file
This process will import the UPDATED.DBF file from the OIG web site into IRIS to run the OIG Comparison Report. Before this import can be run, the file must be downloaded from the OIG web site, extracted and saved locally. Then click the 'Import OIG download' button, navigate to and open the UPDATED.DBF or UPDATEDLUECSV file.

To download the latest OIG exclusion list, click this link: [Click Here](#)

[Import OIG Download](#)

1. Select the report type:

☐ Choose Provider
☒ Full Database

2. Select a provider/fiscal year and click preview report:

Provider: FY Begin: FY End:

[Preview Report](#)

Latest Download Date: 6/5/2017 10:04:29 AM

5



HFS IRIS Program

You will need to Import the OIG Data File into your database, you do this by selecting the "Click Here" link circled in previous slide, this will take you to the following Website:

https://oig.hhs.gov/exclusions/exclusions_list.asp

ge SalesLogix Google HFS SupportCenter HFS Suggested Sites WebEx Imported From IE

REPORT FRAUD

U.S. Department of Health & Human Services
Office of Inspector General
U.S. Department of Health & Human Services

Report & Topic Keyword Search

About OIG Reports & Publications Fraud Compliance Recovery Act Oversight Exclusions Newsroom

Home > Exclusions > LEIE Downloadable Databases

LEIE Downloadable Databases

E-mail me when this page is updated.

Download the LEIE Database

ANNOUNCEMENT: As of the September 2013 update, only the LEIE files containing the NPI, Waiver, and Waiver Status fields will be available.

Instructions and information About the LEIE Files.

Below files updated: 06-09-2015

LEIE Database

> 05-2015 Updated LEIE Database EXE | ZIP

Related Information

- Waiver Info
- Search the Online LEIE Database

How To Use These Files

View a video tutorial on using the downloadable files.

Using the Exclusions Downloadable Databases

I'm looking for

Let's start by choosing a topic

Select One

- Online Searchable Database
- LEIE Downloadable Databases
- Monthly Supplement Archive
- Waivers
- Quick Tips
- Background Information
- Applying for Reinstatement
- Contact the Exclusions Program

6



IRIS Data Entry

- One major point when entering the percentage where a resident rotates to another hospital, if you enter your resident that rotates to your facility in April but is at another hospital from 4-11 to 4-16 (6 days), do not enter 4-1 to 4-30 with the IME and GME % at 80 but rather split the assignment and enter 4-1 to 4-10 and 4-17 to 4-30 at 100. If the other provider lists their assignment 4-11 to 4-16 at 100, then you would have an overlap when listing your assignment from 4-1 to 4-30 at 80.

9



IRIS Data Entry

- The Residency field should generally be populated with the residency type code for the program the IR was participating in on the first day of the resident's first rotation after graduating from medical school, even if that rotation did not occur within the provider submitting the IRIS file or within the provider's current cost reporting period.
- When entering the Assignment – the Residency Type Code is the IR's current Residency Training program for which they are seeking board certification, not where they are rotating to. For example, if the IR is rotating through a Psych area as part of their Internal Medicine program, report as Int Med not Psych.

10



IRIS Data Entry

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IRIS Intern Resident Information System
Version: 3.14.0.0

Home Data Management **Interns** Reports Help

IRIS Facility Information Record

☒ Default Provider Record Last Updated: 10/12/2016 10:37 AM

360999 St Mark 10/1/2015-9/30/2016

Provider Number: 360999 Facility Name: St Mark

OHIO MULTIPLE HOSPITAL COMPONENT IN A MEDICAL COMPLEX (NUMBERS RETIRED)

Fiscal Period From: 10/1/2015 To: 9/30/2016 366 days in this period

Memo

Add New Provider Save Delete

11

To enter assignments, you first need to enter the FIR in the Interns Tab, this identifies the facility and FY.



IRIS Data Entry

Below is the screen where you would go and view residents in the database, it is on the Interns tab and you will select Search Intern:

HPS IRIS Verification System (© 2006-2015)

IRIS Intern Resident Information System
Version: 1.3.3.119

Home Data Management **Interns** Reports Help

Intern Search

Enter Name or SSN:

Enter a value of Name or SSN to return intern results.

1. Ex. ("Sm") will return all Interns whose last name contains with "Sm")
2. Ex. ("SS") will return all Interns whose SSN contains with "SS")

When the return list appears click on the desired last name to view the full intern record.

Previously performed searches are saved during the active IRIS session and are available in the textbox.

Click on View/Edit button to view Intern Record

	Last Name	First Name	M	SSN
View/Edit	Anthony	Linda		U555889999
View/Edit	Berry	Scott		U222331111
View/Edit	Binkley	Mariam		U444117777
View/Edit	Booth	Stephen		U55446666
View/Edit	Darron	Faustiv		U11223333
View/Edit	Holly	Buddy		U33356666
View/Edit	Jones	Pearl		U11113333
View/Edit	Lery	Charles		U799887777

Records Found: 9

You can hit Start Search without a name and receive all residents or can enter name or SSN

Can sort by Name or SSN by clicking the header

12



IRIS Data Entry

Intern Assignment Information

HealthFinancial Systems

SSN: U555446669 | Last Name: Booth | First Name: Stephen | M.I.: | FMG Cert Date: 6/1/2013 | FMG Cert ID: 15 | Med Grad Date: 6/13/2016 | Last Updated: 6/13/2016 12:00:00 AM

Medical School and Location: 00511 | Stanford University School of Medicine | Stanford | CA

Intern Primary Residency Information: 2200 | Psychiatry | Active: ☒ | Category: Other | Yrs Limit: 4

Secondary Residency/Potential Simultaneous Match: ☐ | |

Intern Assignments (multiple Providers & Fiscal Years, if present)

Prov#	Adj	Asgn Begin	Asgn End	Yr Comp	Res	Residency Description	Wgt	Time %	#	GME %	#	IME %	UW GME	Archive
360997		8/1/2014	8/31/2014	1	2200	Psychiatry St. Matthew	1	100	0.0849	100	0.0849	100	0.0849	Edit/Del

To enter new assignments for the next FY, you select the resident and then select Add Assignment Records. Do not change the previous assignments.

[Provider Summary](#) [Add Assignment Records](#)

13



IRIS Data Entry

- The HFS IRIS can also import csv files that are prepared in excel for those users that have many residents and are much more comfortable entering data in excel. We now accommodate a single consolidated file for our users to import rather than 2 files in the M and A dbf format.

Home | Data Management | **Interns** | Reports | Help

Import/Export Data

IRIS Import File Manager | Export CMS IRIS Data | Export Special Report | Import OIG | Change Database

IRIS Import File Manager

Legacy IRIS Access | Single File Set | **Consolidated File Set** | Batch Import

Import your old IRIS. | Import a single file set into the IRIS database. | **Import a single consolidated file set into the IRIS database.** | Import a selected folder of file sets into the IRIS database.

14



IRIS Data Entry

- The file has to use a specific format with the headers that are from the CMS' M & A structure shown as follows and we have a link on our IRIS page for the csv file
<https://www.hfssoft.com/doc/Consolidated.csv>

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
SSN	FNAME	MNAME	LNAME	EMPLOYER	RESTYPCODE	MEDSCHOOL	MSGRADDATE	FORCERTDAT	PROVNUMBER	FYBEGIN	FYEND	TIMEPERC	IMEPERC	GMEPERC	ASGNBEGDAT	ASGNENDDAT	ARESYEAR	ARESTYPE
U123456789	John		Doe	Test1	1350	102	6/9/2014		360997	1/1/2015	12/31/2015	100	100	100	7/1/2014	7/31/2014	0	1350

15



IRIS Data Entry

- The ARESYEAR field is consistent with the CMS' format and is a cause of confusion for many users. This is actually Years Completed and not the PGY year. So a resident in their first year, they will be listed in the assignment as 0. We have a calendar to assist this with each resident:

16



IRIS Data Entry

- Selection of the Residency Calendar shows what we expect the years complete will be if the resident takes no time off:

Residency Calendar

Intern Residency Calendar for U.S. Med Grad Date 6/1/2013

Fiscal Year Begin	Fiscal Year End	Comments	Years #
7/1/2013	6/30/2014		0
7/1/2014	6/30/2015		1
7/1/2015	6/30/2016		2
7/1/2016	6/30/2017		3
7/1/2017	6/30/2018		4

17



IRIS Data Entry

- Entry of assignments will need to be split when the years complete changes (most of the time it is 7-1):

Prov#	Adj	Asgn Begin	Asgn End	Yr Comp	Res	Residency Description	Wgt	Time %	#	GME %	#	IME %	UW GME	Archive
360997		1/1/2014	2/10/2014	3	1400	Internal Medicine St. Matthew	0.5	100	0.0562	100	0.0562	100	0.1123	Edit/Del
360997		7/1/2014	8/31/2014	4	1400	Internal Medicine St. Matthew	0.5	100	0.0849	100	0.0849	100	0.1699	Edit/Del

18



IRIS Reports

- The most frequent report used is the Audit Report. This shows you the residents and the assignments with the FTE's for each. We would like to highlight a few items that can assist you in review of your interns and counts.
 - With the Audit report we have warnings that are not fatal edits but may want to be reviewed to ensure proper handling, these include "Invalid IRP", "Yrs Comp Wght", and "Yrs Complete".

19



IRIS Reports

- The Invalid IRP is stating the M record residency code is not an Initial Residency Program, for example Cardiology, the resident more than likely began in Internal Medicine and has switched to Cardiology, the Internal Medicine code should not change when they begin in the Cardiology specialty.
- The Yrs Complete and Weight kicks out when the assignment years complete is different than what we estimate based on the graduation date or Foreign Cert Date. If a resident takes time off, you will receive this but can ignore, may want to track this in case the MAC questions this.

20



IRIS Reports

- When the Weight is shown, this means the years complete change could trigger a change in the GME weight factor from either 1.0 to 0.50 or vice versa.
- You can identify these warnings by selecting "Print only edit records by Provider" when selecting the Audit report as shown on next slide.

21



IRIS Reports

IRIS Intern Resident Information System HealthFinancial Systems

Version: 5.15.0.1

Home Data Management Interns Reports Help

Reports

- Audit Report
- Notes Report
- Foreign Medical Graduates
- Import Data Master Variance
- Import File Log
- Intern Assignments

IRIS Audit Report

1. Choose a report type:

☐ Print only ECFMG residents by Provider
☐ Print only edit records by Provider →
☒ Print all Intern records by Provider / FY (default)

2. Select a provider/fiscal year and click preview report:

Provider: 360997 FY Begin: 01/01/2014 FY End: 12/31/2014 ☐ 1 Intern per page

22



IRIS Reports

- A beneficial report is the "Out of IRP Report", this will show all of your residents that are being weighted 0.50 for GME.
- We do have ability to have the Audit report export to csv, this is from Data Management tab, select Export Special Report. This is useful if you need to sort and compute amounts further.

23



CMS' IRIS Changes

- CMS has been having meetings since April 2015 with all of the IRIS vendors to assist them in creating their own IRIS system.
- CMS has tied the IRIS into the PS&R and STAR system to incorporate a National Database.
- MACs are now uploading the IRIS files submitted with the Medicare Cost Reports.
- CMS issued CR9984 on March 17, 2017 instructing MACs to load a minimum of 4 years of historical IRIS dbf files to the new STAR IRIS.

24



CMS' IRIS Changes

- This will enable the IRIS database to accumulate historical info for each resident to determine the initial residency and number of years the residents have completed.
- The other major issue is running overlaps, therefore, it is vital to have discussions between the hospitals if residents rotate to other hospitals.

25



CMS' IRIS Changes

- CMS is pushing to compare the cost report FTEs to what they calculate the FTEs from the submitted IRIS files. They are planning to begin holding up accepting cost reports in the near future (at the earliest it will be the 9-30-16 FYEs).
- To prepare for this change, HFS has created a Special Report 923 (SR923) in the cost report software and also in the IRIS software to run a comparison. In the MCRIF32 system (the cost report software), we issue a Level II edit relating to SR923 when the FTE count on the cost report does not agree to the IRIS FTE calculation.

26



HFS IRIS SR923

- In the cost report software, the new SR923 report (can locate this thru Open Forms and scroll to the bottom) that we created in response to the CMS STAR IRIS FTE calculation. In the HFS IRIS you can export a csv file from IRIS and upload the csv file to the SR923 report.
- To get the csv from IRIS, go to reports – Residency Report and select the 3rd bullet as shown on the next slide:

27



HFS IRIS SR923

Report Type: Intern Assignment Residency FTE Summary (E Part A and E-4 FTE's)

Provider	Residency	FY Begin	FY End
360998	1050 Allergy & Immunolo	07/01/2014	06/30/2015
	1051 Allergy & Immunolo		
	1052 Allergy & Immunolo		
	1100 Anesthesiology		
	1101 Anesthesiology		
	1102 Anesthesiology		
	1103 Anesthesiology		

Sorted By: ☒ SSN (default) ☐ Last Name

SR File (Optional): This is to import the csv file from SR923 mcrx file to IRIS for a comparison.

28



HFS IRIS SR923

- Below is from the HFS hospital cost report software.

Open Forms

Find:

Form	Description
<input type="checkbox"/> SR 909	CAH RCC Calculation with Bad Debts Report
<input type="checkbox"/> SR 910	CAH RCC Calculation Report
<input type="checkbox"/> SR 911	Pysch Rate Report
<input type="checkbox"/> SR 913	CAH 96 Hr Verification Report
<input checked="" type="checkbox"/> SR 916	OPPS RCC Report
<input checked="" type="checkbox"/> SR 917	Cost to Charge Ratio Report
<input checked="" type="checkbox"/> SR 918	Pass Thru Per Diem Report
<input type="checkbox"/> SR 920	Special Rehab Hospital PPS Report
<input checked="" type="checkbox"/> SR 921	HITECH FISS Data Report
<input checked="" type="checkbox"/> SR 922	HITECH FISS Data Report - Finalized Report
<input checked="" type="checkbox"/> SR 923	IRIS to Cost Report Validations

Components

No components for the selected form.

Open Cancel Help

Scroll to bottom

29



HFS IRIS SR923

SR 923 - IRIS to Cost Report Validations											
	A	B	C	D	E	F	G	H	I	J	K
1	SPECIAL REPORTS - IRIS to Cost Report Validation										
2	Provider:							Unit:	01/01/2013	IRIS to Cost Report Validation	
3	Trans:							Trans:	06/30/2014		
4									Cost Report	IRIS	
5								0	1.00	2.00	
6	Part I - New										
7	1.00	W/S E Part A line 10: FTE count for allopathic and osteopathic programs in the current year from your records.							202.15	0.00	1.00
8	2.00	W/S F Part A line 11: FTE count for residents in dental and podiatric programs.							10.75	0.00	2.00
9	3.00	W/S G Part A line 16: Adjustment for residents in initial years of the program.							0.00	0.00	3.00
10	4.00	W/S C Part A line 17: Adjustment for residents displaced by program or hospital closure.							0.00	0.00	4.00
11	5.00	W/S F-1 Part II line A: Current year's unweighted FTE count of TAD working FTEs in the new program growth period of a "New teaching program".							0.00	0.00	5.00
12	6.00	W/S C-3 Part II line 7: Current year's unweighted ISB FTE count for residents within the new program growth period of a "New teaching program".							0.00	0.00	6.00
13	7.00	W/S E-3 Part II line 7: Current year's unweighted FTE count of ISB, excluding FTEs in the new program growth period of a "New teaching program".							0.00	0.00	7.00
14	8.00	W/S E-3 Part III line 8: Current year's unweighted ISB FTE count for residents within the new program growth period of a "New teaching program".							0.00	0.00	8.00
15	9.00	Total New FTEs							202.90	0.00	9.00
16	Part II - Unweighted UHL, Allopathic and Osteopathic										
17	10.00	W/S E-1 line 6: Unweighted resident FTE count for allopathic and osteopathic programs for the current year.							202.36	0.00	10.00
18	11.00	W/S F-4 line 15 (col 1 & 2): Adjustment for residents in initial years of new programs.							0.00	0.00	11.00
19	12.00	W/S F-4 line 16 (col 1 & 2): Adjustment for residents displaced by program or hospital closure.							0.00	0.00	12.00
20	13.00	Total Unweighted OMB FTEs							202.36	0.00	13.00
21	Part III - Weighted UHL										
22	14.00	W/S E-4 line 8 Column 1: Weighted FTE count for physicians in an allopathic and osteopathic program for current year - Primary Care.							207.28	0.00	14.00
23	15.00	W/S E-4 line 8 Column 2: Weighted FTE count for physicians in an allopathic and osteopathic program for current year - Other.							75.20	0.00	15.00
24	16.00	W/S E-1 line 10 Column 2: Weighted dental and podiatric resident count for the current year.							10.00	0.00	16.00
25	17.00	W/S F-4 line 15 Columns 1 and 2: Adjustment for residents in initial years of new programs.							0.00	0.00	17.00
26	18.00	W/S F-4 line 16 Columns 1 and 2: Adjustment for residents displaced by program or hospital closure.							0.00	0.00	18.00
27	19.00	Total Unweighted OMB FTEs							292.48	0.00	19.00
28	Total Data File										
29	100.00	Priority for TBS CSV Data File							100.00	0.00	100.00

30



HFS IRIS SR923

- Then take the csv file from IRIS (will be named xxxxxx.YYYYMMDD.YYYYMMDD.SR923.csv where xxxxxx is provider # and we will have FYB and FYE identified) and open up the SR923 in the cost report and select the Browse on line 100 to import this file. We also create a csv file from the mcrx file which will be named Cost_Report_Name.SR923.csv that can be imported into the HFS IRIS Audit and Residency Reports. To import the cost report csv file, you select the Browse button shown on previous slide at the end of the SR File (Optional) section.

31



CMS' IRIS Changes

- CMS is moving to get rid of the M & A dbf files and going to 1 xml file for submission of IRIS with the cost reports.
- This will get rid of the free dos based IRIS system and require providers to submit with the new system – more than likely with an IRIS vendor.

32



CMS' IRIS Changes

- CMS is planning on adding the following new fields to IRIS:
 - Non-IRPS Year One – Simultaneous Match
 - Non-IRPS Year One – Prelim. – Transitional
 - IRF % and IPF % - for time spent at subprovider
 - Non-Provider Site %
 - New Program – True or False
 - Displaced Resident – True or False

33



CMS' IRIS Changes

- The plan is to then be able to trace FTE amounts from IRIS files to the cost report for the following fields:
 - E Part A lines 10, 11, 16 (displaced), and 17 (new)
 - S-2 Part I line 66 cols 1 & 2, line 67 cols 3 & 4
 - E-3 Part II (Psych) lines 6 & 7 (new)
 - E-3 Part III (Rehab) lines 7 & 8 (new)
 - E-4 line 6, line 8 & 16 cols 1 & 2, line 10 col 2, and line 15 cols 1 & 2
 - E-4 lines 10.01, 15.01, and 16.01 (added in T10)

34



CMS' IRIS Changes

- **TIMELINE?????**
 - This is the real unknown.
 - We have stressed the need for CMS to publish somewhere a summary of all of these changes as this can be difficult for providers to get information and also anytime it ties into a rejection of a cost report, this is vital to announce and ask for feedback.

35



Questions

36